

J1049 U.S. PTO
06/15/01

D6-18-D1

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PTO/SB/18 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **297.006**

First Named Inventor **Robert D. Kern et al**

Title **SYSTEM CONTROLLER AND METHOD FOR MONITORING AND CONTROLLING A PLURALITY OF GENERATOR SETS**

Express Mail Label No. **EL922961849US**

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. (X) Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. () Applicant claims small entity status
See 37 CFR 1.27
3. (X) Specification [Total Pages 25]
(preferred arrangement set forth below, MPEP 1503.01)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
4. (x) Drawing(s) (35 U.S.C. 113) [Total Sheets 11]
5. (x) Oath or Declaration [Total Pages 2]
 - a. () Newly executed (original or copy)
 - b. () Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 16 completed)
 - i. () **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63 (d)(2) and 1.33 (b).
6. () Application Data Sheet. See 37 CFR 1.76
7. () CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

8. () Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

a. () Computer Readable Form (CRF)

b. () Specification Sequence Listing on:

- i. () CD-ROM or CD-R (2 copies); or
- ii. () paper

c. () Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. () Assignment Papers (cover sheet & document(s))
10. () 37 CFR 3.73(b) Statement () Power of Attorney
(when there is an assignee)
11. () English Translation Document (if applicable)
12. () Information Disclosure Statement (IDS)/PTO-1449 () Copies of IDS Citations
13. () Preliminary Amendment
14. (X) Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. () Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. () Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i) – Applicant must attach form PTO/SB/35 or its equivalent
17. () Other: _____

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

() Continuation () Divisional () Continuation-in-part (CIP) of prior application No: _____ / _____

Prior application information: Examiner _____

Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label (Insert Customer Number or Bar Code Label here)		<input type="checkbox"/> Correspondence address below				
Name	Peter C. Stomma 23598					
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City	Milwaukee	State	WI	Zip Code	53202	
Country		Telephone	(414) 225-9755		Fax (414) 225-9753	

Name (Print/Type) **Peter C. Stomma**

Registration No. (Attorney/Agent) **36,020**

Signature 

Date **6/15/01**

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Christine Kuehne **6-15-01**
Name Date

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 782)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Robert D. Kern et al
Examiner Name	
Group Art Unit	
Attorney Docket No.	297.006

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	50-1170
Deposit Account Name	Boyle Fredrickson Newholm Stein & Gratz S.C.
X	Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CJR 1.27

2. Payment Enclosed:
 Check Credit Card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	Fee Description	
101	710	Utility filing fee	710
106	320	Design filing fee	
107	490	Plant filing fee	
108	710	Reissue filing fee	
114	150	Provisional filing fee	

SUBTOTAL (1) (\$ 710)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from Below	Fee Paid
Independent Claims	24 -20** = 4	x 18 = 72	
Multiple Dependent	3 - 3** = 0	x 80 =	

Large Entity	Small Entity	Fee Description
Fee Code	Fee Code	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim, if not paid
109	80	209 40 **Reissue independent claims over original patent
110	18	210 9 **Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 72)

** or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge – late filing fee or oath	
127	50	227 25 Surcharge – late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for <i>ex parte</i> reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	390	216 195 Extension for reply within second month	
117	890	217 445 Extension for reply within third month	
118	1,390	218 695 Extension for reply within fourth month	
128	1,890	228 945 Extension for reply within fifth month	
119	310	219 155 Notice of Appeal	
120	310	220 155 Filing a brief in support of an appeal	
121	270	221 135 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive – unavoidable	
141	1,240	241 620 Petition to revive – unintentional	
142	1,240	242 620 Utility issue fee (or reissue)	
143	440	243 220 Design issue fee	
144	600	244 300 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Processing fee under 37 CFR 1.17(q)	
126	180	126 180 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
146	710	246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279 355 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

Complete (if applicable)				
Name (Print/Type)	Peter C. Stomma	Registration No. (Attorney/Agent)	36,020	Telephone (414)225-9755
Signature			Date	6/15/01

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Christine Krejza 6-15-01
Name Date

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

01/21/2001 VTRUONG1 00000014 09882765

01 FC:101	710.00 0P
02 FC:103	72.00 0P

PTO-1556
(5/87)